



2018 Annual Report to the Advisory Board

Executive Summary

This report encompasses the activities and administration of the Central New York Humanities Corridor during the period of November 29, 2017 through December 31, 2018. The report coincides with Year four of activities in the No-Cost Extension period of the pre-endowment phase (2015-2018). Based on a review of all 2018 working group financial worksheets, end-of-year summaries, and other documentation, this report provides an overview of:

1. Responses to and outcomes from the 2018 Advisory Board meeting;
2. Budgetary and administrative policies;
3. CNY Humanities Corridor 2018 budget;
4. Report of 2018 working groups and awards;
5. Breakdown of other programs in 2018 and beyond;
6. Update on endowment period governance and administration; and
7. Advisory Board meeting topics for further discussion.

Appendices:

- A. CNY Humanities Corridor 2019-20 Planning Timeline; and
- B. Restricted Terms of Mellon Foundation Endowment Awards.

Responses to and Outcomes from the 2018 Advisory Board meeting

On March 28, 2018, the first meeting of the CNY Humanities Corridor Advisory Board was convened at Syracuse University.

After an overview of Corridor programs and an orientation on the roles and responsibilities of the board members, the substantive board business began. The meeting summary was distributed to Advisory Board members in preparation for the 2019 meeting. Recommendations stemming from that inaugural meeting are listed below followed by the response/outcome to those recommendations.

Call for Proposals (CFP) launched in 2018:

- The basic working group funding patterns are to remain the same: \$1500 for new working groups, \$2500 per event limit for continuing working groups, and a limit of \$5000 for any working group in a year.

- A Signature Event category was added for large, one-off, interdisciplinary events that include participants from at least three campuses and stem from an existing working group. Signature Event funding will be capped at \$10,000 and is subject to availability in any given year.
- Multi-year proposals were recommended for ongoing groups that have been collaborating successfully and have a regular slate of programming and an intellectual vision and pathway for up to a three-year period. That option was added to the proposal application in 2018, and it now requires endowment budget forecasting and tracking for a few years into the future.
- Examples of successful past working group activities were recommended to be added to the CFP, and those examples now appear in the CFP text and will be utilized going forward in publicizing Corridor opportunities.

Corridor administrative processes and deadlines:

- The board expressed concern about the perception that the Corridor's paperwork requirements are onerous. Every effort should be made to limit that perception and to modify the number of forms required of working groups. In response to this concern, the financial worksheets were removed from the process and are now incorporated via budget details at the time of application. Confirmed activity/event information will still need to be gathered, and groups will still be required to fill out informational forms with their event details.
- Corridor CFP deadlines have always been held on an annual basis, but the board recommended that there be two application periods per year. As a result, the Corridor now has twice per year application periods, one in fall and one in spring. This outcome requires that the Directors meet at least twice per year, once per semester, for the proposal review process.

Research Clusters:

- The board members discussed the scope of the research clusters, how to make them more accessible and transparent to faculty, and how to emphasize their interdisciplinarity and collaborative nature. As a result:
 - Philosophy was renamed "Philosophy/Critical Theory" to broaden the scope of this interdisciplinary research grouping.
 - Historical Studies was recommended as a new research cluster in order to fill a gap. This makes a total of eight research clusters.
 - Musicology/Music History was revised to Musicology/Performance Studies so as to distinguish it from Historical Studies.
 - Descriptive language was added to the website to further explain that the clusters are broad thematic rubrics, not disciplines, and that they are broadly conceived and interdisciplinary.

All of these recommendations and changes were a direct result of the faculty feedback provided during the inaugural Corridor Advisory Board meeting.

Budgetary and Administrative Policies

Target allotments were set in proportion to the initial anticipated payouts of CNY Humanities Corridor endowments at each of the three primary partner institutions, with Syracuse University's endowment

supporting the schools of the New York Six Liberal Arts Consortium (NY6) expenses. We have continued to target allotments of the \$100,000 available in annual pre-endowment period working group funding as follows:

- \$60,000 for activities at Syracuse University/NY6;
- \$25,000 for activities at Cornell University; and
- \$15,000 for activities at the University of Rochester.

In the endowment phase, these targets may need to be revised, as the endowment earnings projections at all three institutions are projecting higher than anticipated in the 2014 endowment proposals. With 2018 as a transition year and with the uncertainty of the remaining grant funds and No-Cost Extension request, the CNY Humanities Corridor did not solicit proposals for events in Spring 2018. The Call for Proposals was launched in April 2018 for proposals beginning in Fall 2018. Since the delayed CFP covered a limited timeframe, we fell below our 2018 targets for working group funding.

The institutional targets aim to incentivize working groups to engage with collaborators across the region and to host activities across the Corridor rather than hosting multiple activities at a single institution. The Directors will continue to suggest or facilitate these contacts whenever appropriate, though working group composition remains to be determined by each group's coordinators. This reinforces the Corridor's mission of increasing connectivity and collaboration among academic humanists across the region and fostering cross-institutional partnerships and resource-sharing mechanisms in emerging and established scholarly fields.

Priority in funding continues to be given to working groups demonstrating active participation of faculty and students from multiple Corridor institutions. As a general policy, there are no carryover funds for activities not completed per the terms of the award letter (i.e. by term, host campus, total budget). Approved activities cannot be relocated to a different academic term or Corridor location without prior approval as this may adversely affect the institutional commitment allotments. In the endowment phase, there is a new process for groups to request an amendment to their award; depending on the type of amendment request, the Directors may need to revisit the proposal and its impacts on endowment projections for any given term or institution.

In order to allow the continuation of support for numerous working groups, the Corridor has continued to implement the following general guidelines for pre-endowment, No-Cost Extension (NCE), and endowment period working group budgets:

- New working groups are eligible for up to \$1,500 in planning funds to establish their faculty network and organize one to two small-scale events;
- The recommended single-event budget maximum is \$2,500; and
- The recommended maximum annual working group commitment is \$5,000 (for continuing groups with two or more activities taking place at more than one Corridor location).

As mentioned earlier and in direct response to last year's Advisory Board recommendations, a new Signature Event proposal category was created. The Signature Event category is reserved for high-profile events that may require a larger amount of funding (e.g. conferences, colloquia, large-scale exhibitions and/or performances involving Corridor participants). These interdisciplinary proposals must include participation from at least three institutions to be eligible, and the funding availability varies from year-

to-year based on proposal submissions and funding availability in any given year. These proposals are capped at \$10,000 and are considered separately from the three categories listed above.

In addition to maximizing the impact of available funding, these guidelines have continued to strengthen the Corridor's connections to host institutions by encouraging groups to seek college and departmental co-sponsorships for working group events. Additional investment from multiple sources increases engagement and publicity, especially for public events. Although the Corridor continues to provide funding to larger-scale programming (i.e., multi-day conferences and colloquia, public talks, screenings, and performances), these budget guidelines encourage working groups to engage in activities such as reading/writing groups, teaching exchanges, symposia, mini-seminars and workshops, that are highly focused on group members' research, enhancing the Corridor's scholarly network.

2018 Budget and No-Cost Extension

The 2018 Revised Budget and Financial Report covers the calendar year 2018 plus the period after the cutoff date was established for a period of No-Cost Extension (NCE).

Funding for the CNY Humanities Corridor's pre-endowment period (2015 – 2018) had been a combination of the \$300,000 expendable gift (grant #31400637, made in conjunction with the endowment award of September 24, 2014) and rollover of the remaining Phase II grant funds (grant #21100640), which was approved January 16, 2015. As of the 2017 annual report's Revised Budget and Financial Report, the remaining available funding balance for calendar year 2018 was \$258,847.79.

On December 11, 2017, the CNY Humanities Corridor filed a No Cost Extension (NCE) request to the Mellon Foundation on the spendable portion of the Phase III grant. The reason for the NCE request was cost-savings during the pre-endowment period, resulting from a lower-than-anticipated invoicing rate from working groups on approved grant activities as well as a six-month vacancy in the Program Coordinator administrative position. The NCE request was approved in a letter from the Mellon Foundation dated January 22, 2018. As a result, the CNY Humanities Corridor has remained on the grant through December 31, 2018.

At the date of the NCE request on November 28, 2017, the remaining budget totaled \$258,847.79. It is important to note that further invoices for approved 2017 activities continued to post through the first quarter of 2018. As of the writing of this annual report, the Office of Sponsored Accounting's certified budget report detailing the actual expenditures was not yet available.

Of that total, \$49,556 was committed for administrative salaries and fringe, \$5,000 for the Mellon Distinguished Visiting Collaborator, \$15,000 for the Graduate Student Public Humanities Fellows at Syracuse, Cornell, and the University of Rochester, \$12,000 for faculty travel including NY6 schools, \$12,500 for web services, and \$5,000 for the annual Corridor planning retreat. Funding for working group activities, the single largest budget category, was set from the remaining total at \$156,174.79.

The transition to the three-endowment structure began officially on January 1, 2019.

Report on 2018 Working Groups and Awards

Budgeted commitments for working group activities in 2018 totaled \$75,163 to 34 working groups as follows:

Corridor Location	Pre-Endowment Target Budget Allotment	Total 2018 Commitments	Number of Activities
Syracuse University & NY6	\$60,000 (60%)	\$49,238 (65%)	34 (57%)
Cornell University	\$25,000 (25%)	\$11,925 (16%)	11 (19%)
University of Rochester	\$15,000 (15%)	\$14,000 (19%)	14 (24%)
TOTAL	\$100,000	\$75,163*	59

* This award level is particularly notable given that the Corridor had not run a Call for Proposals for the Spring 2018 semester, which was due to the grant end date and uncertainty prior to the NCE request/award.

Of the eight research clusters, the Literature, Language, and Culture (LLC) and Visual Arts and Cultures (VAC) research clusters supported the majority of working groups in 2018, each with a total of nine active groups. The Philosophy/Critical Theory (PHI) research cluster supported a total of five working groups followed by Musicology/Performance Studies (MP) with four working groups. Both Digital Humanities (DH) and the new Historical Studies (HS) cluster supported three active groups. The Linguistics (LIN) cluster had one active group while the Archives and Media (AM) cluster was inactive this past year. We are optimistic about potential future collaborations and growth within the Archives and Media cluster given recent participation and interest from museums and libraries as well as new faculty and administrators with connections throughout Central New York.

The 34 active 2018 CNY Humanities Corridor working groups hosted 59 activities comprising over 100 talks, panels, workshops, poster sessions, master classes, performances, and screenings, in which over 2,500 faculty, students, and other Corridor community members participated. Syracuse University and participating NY6 schools hosted 34 of these events (57%), Cornell University hosted 11 (19%), and the University of Rochester hosted 14 (24%). Detailed working group and event information is available on the CNY Humanities Corridor website: www.cnycorridor.net/working-groups/2018-activities/

Research Cluster	Total Commitments	N° Working Groups	N° Events Hosted	Median Event Budget
PHI	\$15,900	5	7	\$2,500
LIN	\$4,500	1	3	\$2,250
VAC	\$12,942	9	12	\$1,078
MP	\$13,950	4	12	\$750
AM	\$0	0	0	\$0

HS	\$3,900	3	5	\$900
DH	\$5,400	3	4	\$1,300
LLC	\$18,571	9	16	\$775
TOTAL	\$75,163	34	59	\$1,365 (avg.)

Breakdown of Other Programs in 2018 and Beyond

Total budgeted commitments for other programs include Corridor travel, Public Humanities Fellowships, Distinguished Visiting Collaborator activities, and facilities and administration costs (including staff salary and fringe benefits) in 2018 total \$102,673.

Category	2018 Budgeted Amount
Intra-Corridor Travel	\$12,000
Public Humanities Fellowships	\$15,000
Distinguished Visiting Collaborator	\$5,000
Facilities & Administrative Costs	\$70,673
TOTAL	\$102,673

To promote collaboration across the Corridor, the Intra-Corridor Travel Fund is available to bring together Working Group Coordinators from different campuses, and for faculty and graduate students to attend Corridor activities (workshops, symposia, lectures, etc.) at other Corridor institutions. This funding opportunity will continue under the endowments.

The CNY Humanities Corridor also supports Public Humanities Fellowships for graduate students at Syracuse University, Cornell University, and the University of Rochester. This Humanities New York state-wide fellowship program encourages emerging humanities scholars to conceive of their work in relation to the public sphere, to develop skills for doing public work, and to strengthen the public humanities community in New York State. The year-long fellowship involves training in public scholarship methods and work by the fellows to explore the public dimensions of their scholarship in partnership with a community organization. The Corridor contributes \$5,000 toward these fellowships at each institution. In 2018, the Mellon grant covered the cost-share portion for all three institutions, but each school will cover its own cost-share from each of the three endowments starting in the 2019-20 academic year.

The facilities and administrative costs budget line includes staff salaries and fringe benefits, web services and publicity, and planning meetings. Going forward on the endowments, these Corridor administrative costs will continue to be budgeted for out of the Syracuse University endowment since it is the Corridor's administrative home.

The Distinguished Visiting Collaborator is a program designed to enhance liberal arts education in the region by bringing prominent external scholars from various fields in the humanities for brief residencies during which they give public lectures, lead small seminars and symposia, and meet with students. This program will continue in the future under the Syracuse University endowment.

The Digital Humanities Initiative is aimed at building upon the University of Rochester's Digital Media Studies undergraduate degree program and the Humanities Labs. This initiative aims to tap into the Corridor's digital humanities resources and potential and is specific to the University of Rochester endowment.

The Society for the Humanities at Cornell University will leverage its space and academic community to host a Corridor-wide conference or large-scale workshop in an area of humanistic inquiry. This new program is specific to Cornell University's endowment.

Endowment Period Governance and Administration Planning Update

The CNY Humanities Corridor is currently administered and governed by Professor Gregg Lambert, Principal Investigator, Syracuse University; Professor Paul Fleming, Director, Cornell University; and Professor Joan Rubin, Director, University of Rochester. Aimee Germain serves as the Program Coordinator from the Corridor administrative office in the Syracuse University Humanities Center. There are additional administrative and budgetary staff members in each of the participating Humanities Centers, and the Corridor's administration requires effective collaboration and communication between all campuses.

As stipulated in the endowment proposal of July 11, 2014, approved by the Mellon Foundation as a governing document of the award, the Corridor's administration shall continue to reside in the Syracuse University Humanities Center, and activities will continue to be coordinated at Syracuse University through the office of the Corridor Director. The partner institutions shall be represented by Co-Directors at Cornell and Rochester, who will be responsible for the overall project administration and financial management through their respective institutional endowments. (See Master Collaboration Agreement, Section 2: Governance.)

Following the No-Cost Extension period and beginning in January 2019, the financial management structure of the CNY Humanities Corridor has been revised to process disbursements from the individual endowed accounts at Syracuse University, Cornell University, and the University of Rochester. The overall review and approval processes for Corridor activities will continue to be coordinated by Syracuse University, and the primary leadership role of the CNY Humanities Corridor will continue to reside at the Syracuse University Humanities Center. In the endowment phase, the financial management at Cornell University and University of Rochester will transfer to the Co-Directors where the endowments reside. Spending will therefore be governed by each institution's policies pertaining to the use of restricted funds (rather than through sub-awards from the grant at Syracuse University, as has been the case during Phase I, II, and the pre-endowment period). This arrangement is detailed in the Master Collaboration Agreement, and more information is outlined in Appendix B in a list of Restricted Terms on the Mellon Endowment Award.

In the pre-endowment NCE period, the Corridor had begun operating on an academic year calendar starting in Fall 2018. The current administrative structure is now supplemented by an annual CNY Humanities Corridor Advisory Board meeting, in order to plan for the next year. While the Corridor's primary partner institutions' Directors will continue to be responsible for the selection of Corridor working groups and projects as well as other Corridor activities (i.e., Syracuse's Distinguished Visiting Collaborator, Rochester's Digital Humanities project, and Cornell's conference initiative, faculty and/or student exchanges, and faculty travel), this Board will serve an advisory function to the Directors of the CNY Humanities Corridor going forward.

At the annual meetings—the location of which will rotate among the Corridor's participating institutions—the Advisory Board will continue to receive reports on the previous year's activities and budget. Based on these reports, the Advisory Board will continue making recommendations to the Directors to review the following year's call for proposals and award process conducted by the Directors. The Advisory Board's composition, selection, and function is detailed in the Master Collaboration Agreement. The second annual Advisory Board meeting will take place on March 4, 2019.

Advisory Board Meeting Topics for Further Discussion

Most of the discussion items on the agenda for the March 4 meeting of the Corridor Advisory Board are distilled from the 2018 Corridor Advisory Board meeting and the two subsequent PI/Directors meetings held on May 21 and October 29, 2018. Questions raised at these meetings which warrant further discussion fall under the following topics:

- **Working Group Activities Clarification:** The intention of the Corridor is for cross-institutional, regional collaboration. There are some working groups that have collaborators from only two institutions within the same city (e.g. Syracuse University and Le Moyne College, or the University of Rochester and the Rochester Institute of Technology). To what extent do those working groups meet the criteria for Corridor collaboration and its best intentions?
- **Defining Signature Events:** The Signature Events category on the 2018 CFP was a direct result of the 2018 Corridor Advisory Board meeting, but the meeting did not clearly define a Signature Event and more clarification is needed. For example, does a signature event require that it be a "big idea," and if so, how would that be defined? Would conference seed money be appropriate here, or would this have to be a regional cross-disciplinary or interdisciplinary event?
- **Working Group Reporting:** All working groups that receive Corridor funding are required to submit a summary report at the end of each year. What types of information does the Advisory Board want to learn from these reports (e.g. metrics, narratives, outcomes, what's working, what's not working). The goal is to simplify and streamline the reporting process so as to not create an undue burden for the coordinators filling them out. So we want to prioritize gathering useful information without being duplicative.
- **Special Programs Protocols:** Each of the three CNY Humanities Corridor endowments contain language for special programs specific to each campus's needs and strengths. These programs are still governed by the overall Corridor criteria and approval process, but they warrant some discussion as to how to best utilize these institution-specific funding lines. This discussion pertains to Syracuse University's Distinguished Visiting Collaborator, Cornell University's

Miscellaneous Fund (Cornell Conference Initiative), and University of Rochester's Digital Humanities Initiative.

- Recommendations for the Next CFP Launch: The Advisory Board will have a chance to make suggestions for modifications to the next Call for Proposals to be launched in late March in the weeks following the annual meeting.
- Corridor Survey to Working Group Coordinators: In last year's Advisory Board meeting and in previous PI/Directors meetings, the suggestion arose for a survey to be distributed among current and former working group coordinators via the listserv. What information would be useful to include in such a survey?
- Advisory Board Member Turnover: Advisory Board representatives were appointed to terms of either two or three years in order to stagger the terms after the initial appointments. Those with two-year terms are expiring after the March 4, 2019 meeting, and new prospective representatives will need to be identified.
- Advisory Board Meeting Schedule Change: Rather than have the Corridor Advisory Board meetings toward the end of an academic year, the PI/Directors suggest moving the annual meetings to the fall term closer to the start of the academic year. The meetings would remain a once-per-year occurrence but would occur every fall term rather than in the spring. If this schedule change is approved, the next Advisory Board meeting would be held in Fall 2019 at Cornell University and then again in Fall 2020 at Syracuse University, and so on.

We sincerely thank you for your continued support of and engagement with the Central New York Humanities Corridor, and we look forward to the year ahead.

Sincerely,

Gregg Lambert

Principal Investigator, CNY Humanities Corridor

Dean's Professor of the Humanities, Syracuse University

This report was prepared in February 2019.

Appendix A

CNY Humanities Corridor 2019-20 Planning Timeline

(Dates may be approximate.)

January – February 2019

- Jan. 14 **Deadline: Working Group 2018 End of Year Report Deadline**
last time for calendar year working group reports
- Jan. 15 **Deadline: 2018 Corridor Invoices**
last date for submission of 2018 invoices/receipts for payment

March – April 2019

- March 4 **Annual Meeting of PIs and Advisory Board: all day event**
rotating between Corridor institutions (UR in 2019, CU in Fall 2019, SU in Fall 2020)
- March 31 **Deadline: Final Grant Narrative Report to Mellon Foundation & PIs/Advisory Board**
re: spendable grant funds, transition to endowment period governance plan
- April 1 **Official Request for No-Cost Extension to Mellon Foundation**
- April 15 **Launch CNY Humanities Call for Proposals for May 15 Deadline**

May – June 2019

- May 15 **Deadline: CNY Humanities Corridor Proposals for Fall 2019 & 2019-20**
- May TBD **CNY Humanities Corridor Proposals Distributed to PIs**
for review in advance of PI meeting
- Mid-May **Deadline: Spring 2019 CNY Humanities Corridor Activities**
last day of semester academic calendar on host campus is last date for approved Spring 2019 Corridor activities on endowment funds
- End of May **Corridor PI Meeting**
re: proposal review and selection
- End of May **Set Date for Advisory Board Meeting for Fall 2019 – at Cornell**
- June 3 **Working Group Spring 2019 End of Year Report Deadline**
- June 7 **CNY Humanities Corridor Award Letters Sent**

July – August 2019

- July-Aug. Update fiscal year turnaround. Prepare endowment projections by campus for proposal period, up to a 3-year forecast

September – October 2019

- Early Sept. **Annual Meeting of PIs and Advisory Board: all day event**
rotating between Corridor institutions (CU in Fall 2019, SU in 2020, UR in 2021, etc.)
- Sept. 13 Launch Call for Working Group Proposals for Oct. 15 Deadline Period
- Sept. 30 **Endowment Report Due to Mellon Foundation**
re: investment results and use of endowment income
(period for SU is 12/1/18-06/30/19, but may vary for UR/CU)
- Oct. 15 CFP Deadline for Working Group Proposals
- Oct. TBD **PI Planning and CFP Review Meeting**

November – December 2019

- Nov. 1 **CNY Humanities Corridor Award Letters Sent**

January – February 2020

- February 15 **Launch CNY Humanities Call for Proposals for (New) March 15 Deadline**

March – April 2020

- March 15 **Deadline: CNY Humanities Corridor Proposals for Fall 2020 & 2020-21**
- March TBD **CNY Humanities Corridor Proposals Distributed to PIs**
for review in advance of Spring PI meeting
- March 31 Pending NCE Request from April 2019: **Deadline: Final Grant Narrative Report to Mellon Foundation & PIs/Advisory Board**
re: spendable grant funds/rollover
- April-May **Corridor PI Meeting**
re: proposal review and selection
- April-May **Set Date for Advisory Board Meeting for Fall 2020 – at Syracuse**

May – June 2020

- May TBD **CNY Humanities Corridor Award Letters Sent**
- Mid-May **Deadline: 2019-20 CNY Humanities Corridor Activities**
last day of semester academic calendar on host campus is last date for approved 2019-20 Corridor activities on endowment funds
- June 1 **Working Group 2019-20 End of Year Report Deadline**

July – August 2020

July-Aug. Update fiscal year turnaround. Prepare endowment projections by campus for proposal period, up to 3 years ahead

September – October 2020

Early Sept. **Annual Meeting of PIs and Advisory Board: all day event**
rotating between Corridor institutions (CU in Fall 2019, SU in 2020, UR in 2021, etc.)

Sept. 13 Launch Call for Working Group Proposals for Oct. 15 Deadline Period

Sept. 30 **Endowment Report Due to Mellon Foundation**
re: investment results and use of endowment income
(period for SU is 7/1/19-06/30/20, but may vary for UR/CU)

Oct. 15 CFP Deadline for Working Group Proposals

Oct. TBD **PI Planning and CFP Review Meeting**

November – December 2020

Nov. 1 **CNY Humanities Corridor Award Letters Sent**



Appendix B

Central New York Humanities Corridor Restricted Terms of Mellon Award (Sept. 24, 2014 through 2026)

These terms are drawn from the collective CNY Humanities Corridor endowment proposals submitted to the Mellon Foundation dated July 11, 2014 (Syracuse University), August 6, 2014 (University of Rochester), and August 18, 2014 and from the three official Mellon Foundation endowment award letters dated September 24, 2014 and signed by Earl Lewis, then President of the Mellon Foundation.

Three endowments, one at each partner institution:

- Syracuse University Humanities Center - \$4 million
- Cornell University Society for the Humanities- \$1.5 million
- University of Rochester Humanities Center – \$1 million

A. Mellon Foundation's Terms

1. Syracuse University, Cornell University, and the University of Rochester may not use the endowment income for any purposes other than those stated below, even after the reporting requirements of this grant have been fulfilled, unless it has sought and received written approval from the Foundation.
2. The Foundation recognizes that institutions have different approaches toward the management of endowment and toward the annual rate at which earnings from the endowment are spent. The Foundation has a concern that the long-term purchasing power of its endowment grants be maintained. Achievement of this objective requires sufficient reinvestment of earned income to protect against the cumulative effect of inflation. Given the fluctuations in rates of inflation and total return on endowment, no single percentage figure is likely to serve indefinitely as a guideline for annual expenditures from endowment. Accordingly, the Foundation assumes that these funds will be invested and utilized in a prudent manner that will reflect the endowment's purpose and terms.
3. The Foundation reserves the right to conduct, upon reasonable notice, on- or off-site audits of financial accounting and other documents relating to the grant, and reserves the right to rescind the grant if its terms are not met.
4. The Foundation reserves the right to recover the full amount of the grant funds not expended in accordance with the terms of the award letters and any other grant terms, and reserves standing to enforce the terms of this grant.

B. Administration and Governance

1. Permanent support for the Corridor would be split into three endowments that sit at each partner institution: The Syracuse University Humanities Center, The Society for the Humanities at Cornell University, and the University of Rochester Humanities Center.
2. The Principal Investigator will be responsible for overall project administration and financial management of the Corridor.
3. Partner Co-Investigators/Project Directors will be responsible for 1) project administration and financial management of Corridor Projects at their respective institutions, and 2) participating in the selection of Corridor Working Groups and projects as well as other Corridor activities (i.e., Distinguished Visiting Collaborators, consortial workshops, faculty and/or student exchanges, and faculty travel).
4. Partner Co-Investigators/Project Directors will be responsible for reviewing and approving all Corridor Project expenditures made at their respective institutions.
5. It is expected that the primary leadership role of The Central New York Humanities Corridor (or Principal Investigator) will continue to reside at Syracuse University, where the administration of the Corridor will be permanently located in the Humanities Center.

6. Given the proposed plan to partition the endowment between the three primary Corridor institutions according to different award levels and thus estimated annual income, the financial management structure of the CNY Humanities Corridor will be revised accordingly to process disbursements from these separate accounts beginning in the calendar year 2019. The overall review and approval processes for Corridor activities will continue to be coordinated by Syracuse University, where the Corridor administration will reside in the Humanities Center. The financial management at Cornell University and University of Rochester will transfer to the Project Directors where the endowments reside, and thereafter spending will be governed by the policies that pertain to the use of restricted funds rather than through subawards from the Syracuse grant.
7. It is also expected that the members of the Advisory Board will be fully consulted in the process of transferring the current role of Principal Investigator within this new plan of governance during the calendar year of 2019, and this assignment will be subject to consultation with and approval of The Andrew W. Mellon Foundation.
8. This plan of governance will be designed to ensure maximum transparency to the partners and to endowment donors, including The Andrew W. Mellon Foundation.
9. In any re-alignment of rubrics or research cluster consolidation, the Corridor's aim would be to leverage available funds to sustain historically successful working groups in these areas while also fostering emergent projects and collaborations.

C. Budget Restrictions

1. While annual endowment payouts at all three Corridor institutions will be less than current Corridor annual expenditures, the proposed level of funding represents a re-scaling of the Corridor to ensure its maximum sustainability over time, providing the opportunity to consolidate some of the Corridor's current programming while pursuing opportunities for new collaborations among the faculties at Syracuse University, Cornell University, University of Rochester, and the schools of the New York Six Liberal Arts Consortium.
2. Given that faculty working groups are the Corridor's central programmatic focus, and given the continued high levels of working group activity, the single largest category of each program endowment budget would go toward working group support.
3. The program endowments would also include resources for faculty travel between Corridor institutions, and Syracuse University's endowment would include resources for working group activities involving the schools of the New York Six Liberal Arts Consortium. Including funding for NY6 schools and faculty members in Syracuse University's program endowment represents an extension of the current relationship of those institutions to the earlier Corridor grant.
4. The Syracuse University program endowment would allow for \$10,000 each year in support of a Distinguished Visiting Collaborator. As in the Pre-Endowment period, if the Distinguished Visiting Collaborator support were unemployed in a calendar year, the funding would revert to working group funding.
5. The Cornell University program endowment has designated funding for digital humanities and discretionary/expendables.
6. The University of Rochester program endowment has funding specifically for digital humanities and advertising/supplies.
7. Each university's program endowment would continue to provide co-sponsorship of the graduate student Humanities New York Public Humanities fellowships in the amount of \$5,000 per year.
8. Syracuse University's endowment will continue to support PI travel and web services/publicity at the same levels as in the Pre-Endowment period.
9. The Corridor administrative costs at Syracuse University include summer salary and associated fringe benefits for the PI as well as a portion of the salary and fringe benefits for the Program Coordinator.
 - a) The cost-share arrangement for the Program Coordinator appointment was divided as follows: 50% from the College of Arts and Sciences; 35% from the Office of the Provost; 15% from the endowment.
 - b) Beginning in FY20, the Principal Investigator's stipend will be paid from the remaining balance of the annual income from the endowment for administration.